ICMJE DISCLOSURE FORM

Date: 1/9/2025

Your Name: MIGUEL A VILLALONA CALERO

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

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			Click the tab key to add additional rows.
Time frame: past 36 months			
2	Grants or contracts from any entity (if not indicated in item #1 above).	☐ **None**	
		R01CA266457	Phase 1 Evaluation of Enhanced Natural Killer Cells as a Treatment Strategy in Non-Small cell Lung Cancer Patients Refractory to PD-1/PD-L1 Immune Checkpoint Inhibitors
3	Royalties or licenses	☒ **None**	

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4	Consulting fees	☒ **None**	
5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	☒ **None**	
6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☒ **None**	
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
10	Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid	☒ **None**	

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12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☒ **None**	

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Date: 1/9/2025

Your Name: Lei Tian3

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Xiaochen Li

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Joycelynne M. Palmer

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Claudia Aceves

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Hans Meisen

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

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Date: 1/9/2025

Your Name: Catherine Cortez

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Timothy W. Synold

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Colt Egelston

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Jeffrey VanDeusen

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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Date: 1/9/2025

Your Name: Ivone Bruno

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

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		Name all entities with whom you have this relationship or indicate none (add rows as needed)	**Specifications/Comments (e.g., if payments were made to you or to your institution)**
Time frame: Since the initial planning of the work			
1	All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.) **No time limit for this item.**	☒ **None**	
			Click the tab key to add additional rows.
Time frame: past 36 months			
2	Grants or contracts from any entity (if not indicated in item #1 above).	☒ **None**	
3	Royalties or licenses	☒ **None**	

		Name all entities with whom you have this relationship or indicate none (add rows as needed)	Specifications/Comments (e.g., if payments were made to you or to your institution)
4	Consulting fees	☒ **None**	
5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	☒ **None**	
6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☒ **None**	
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
10	Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid	☒ **None**	

		Name all entities with whom you have this relationship or indicate none (add rows as needed)	Specifications/Comments (e.g., if payments were made to you or to your institution)
11	Stock or stock options	☒ **None**	
12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☒ **None**	

Please place an "X" next to the following statement to indicate your agreement:

☒ I certify that I have answered every question and have not altered the wording of any of the questions on this form.

ICMJE DISCLOSURE FORM

Date: 1/9/2025

Your Name: Lei Zhang

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

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6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☒ **None**	
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
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12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☒ **None**	

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ICMJE DISCLOSURE FORM

Date: 1/9/2025

Your Name: Eliezer Romeu-Bonilla

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

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6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☒ **None**	
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
10	Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid	☒ **None**	

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12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☒ **None**	

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ICMJE DISCLOSURE FORM

Date: 1/9/2025

Your Name: Omer Butt

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

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5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	☒ **None**	
6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☒ **None**	
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
10	Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid	☒ **None**	

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12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☒ **None**	

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ICMJE DISCLOSURE FORM

Date: 1/9/2025

Your Name: Stephen J. Forman

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

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Time frame: past 36 months			
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3	Royalties or licenses	☒ **None**	

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4	Consulting fees	☒ **None**	
5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	☒ **None**	
6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☒ **None**	
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
10	Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid	☒ **None**	

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11	Stock or stock options	☒ **None**	
12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☒ **None**	

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ICMJE DISCLOSURE FORM

Date: 1/6/2025

Your Name: Michael A Caligiuri

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

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1	All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.) **No time limit for this item.**	☐ None	
		CytoImmune Therapeutics	Payments to City of Hope from CytoImmune
		National Institute of Health	NIH grants awarded to Drs. Villalona, Yu and Caligiuri
			Click the tab key to add additional rows.
Time frame: past 36 months			
2	Grants or contracts from any entity (if not indicated in item #1 above).	☐ **None**	
		CA210087 National Cancer Institute, NIH	
		CA033572 National Cancer Institute, NIH	
		CA266457 National Cancer Institute, NIH	
3	Royalties or licenses	☐ **None**	
		Payment of License fee for patent US20220249564A1 to City of Hope from CytoImmune Therapeutics	
		Payment of License fee for patent US20220249564A1 to City of Hope to Dr. Caligiuri	

		Name all entities with whom you have this relationship or indicate none (add rows as needed)	Specifications/Comments (e.g., if payments were made to you or to your institution)
4	Consulting fees	☒ **None**	
5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	☒ **None**	
6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☐ **None**	US20220249564A1; Pdl1 positive NK cell cancer treatment (Caligiuri and Yu)
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
10	Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid	☒ **None**	

		Name all entities with whom you have this relationship or indicate none (add rows as needed)	Specifications/Comments (e.g., if payments were made to you or to your institution)
11	Stock or stock options	☐ **None**	
		CytoImmune Therapeutics	No payments have been made to Dr. Caligiuri
12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☐ **None**	
		Co-founder of CytoImmune Therapeutics	

Please place an "X" next to the following statement to indicate your agreement:

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ICMJE DISCLOSURE FORM

Date: 1/6/2025

Your Name: Jianhua Yu

Manuscript Title: First-in-Human Trial of Engineered NK Cells in Lung Cancer Refractory to Immune Checkpoint Inhibitors

Manuscript Number (if known): 186890-INS-CMED-TR-2

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Time frame: past 36 months			
2	Grants or contracts from any entity (if not indicated in item #1 above).	☐ **None**	
		CA210087 National Cancer Institute, NIH	
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		Payment of License fee for patent US20220249564A1 to City of Hope to Dr. Yu	

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5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	☒ **None**	
6	Payment for expert testimony	☒ **None**	
7	Support for attending meetings and/or travel	☒ **None**	
8	Patents planned, issued or pending	☐ **None**	
		US20220249564A1; Pdl1 positive NK cell cancer treatment (Caligiuri & Yu)	
9	Participation on a Data Safety Monitoring Board or Advisory Board	☒ **None**	
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11	Stock or stock options	☐ **None**	
		CytoImmune Therapeutics	No payments have been made to Dr. Yu
12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	☒ **None**	
13	Other financial or non-financial interests	☐ **None**	
		Co-founder of CytoImmune Therapeutics	

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